

# SCALAR-INDUCED GRAVITATIONAL WAVES

## From fundamental physics in early universe to PBH evolution

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# Primordial Universe

Inflationary cosmology predicts the generation of quantum vacuum fluctuations

# Cosmological Perturbation Theory

$$\mathbf{A} = \mathbf{A}^{(0)} + \delta^{(r)} \mathbf{A}$$

*Scalar perturbation*

*Vector perturbation*

$$ds^2 = a^2(\eta) \left[ - \left( 1 + 2\phi^{(1)} + \phi^{(2)} \right) d\eta^2 + \left( \partial_i w^{(1)} + \frac{1}{2} \partial_i w^{(2)} + \frac{1}{2} w_i^{(2)} \right) d\eta dx^i \right. \\ \left. \left\{ \left( 1 - 2\psi^{(1)} - \psi^{(2)} \right) \delta_{ij} + D_{ij} \left( \chi^{(1)} + \frac{1}{2} \chi^{(2)} \right) + \frac{1}{2} \left( \partial_i \chi_j^{(2)} + \partial_j \chi_i^{(2)} + \chi_{ij}^{(2)} \right) \right\} dx^i dx^j \right]$$

*Tensor perturbation*

# Primordial Universe

Inflationary cosmology predicts the generation of quantum vacuum fluctuations

**Scalar perturbations**



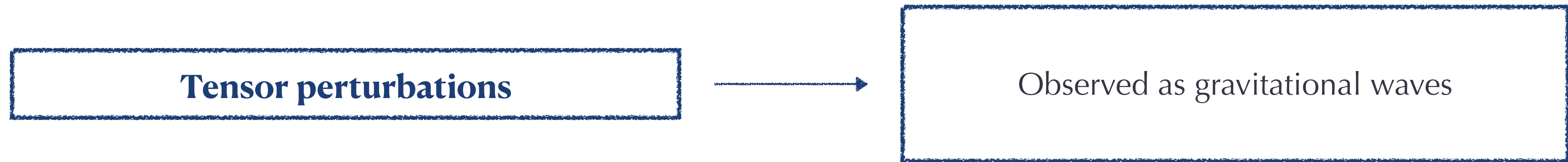
Observed in CMB temperature anisotropies  
and density fluctuations

**Tensor perturbations**



Observed as gravitational waves

# Primordial Gravitational Waves



GWs weakly interact with matter

Primordial GW come in a form of stochastic background (SGWB);  
superposition of incoming GWs

# SCALAR-INDUCED GW

Secondary tensor modes produced due to the coupling of first-order scalar fluctuations

*Tensor perturbation*

*Scalar perturbation*

$$\chi_j^{i(2)''} + 2\mathcal{H}\chi_j^{i(2)'} - \nabla^2\chi_j^{i(2)} = \partial^i\phi^{(1)}\partial_j\phi^{(1)} + \dots$$

**Scalar perturbations**

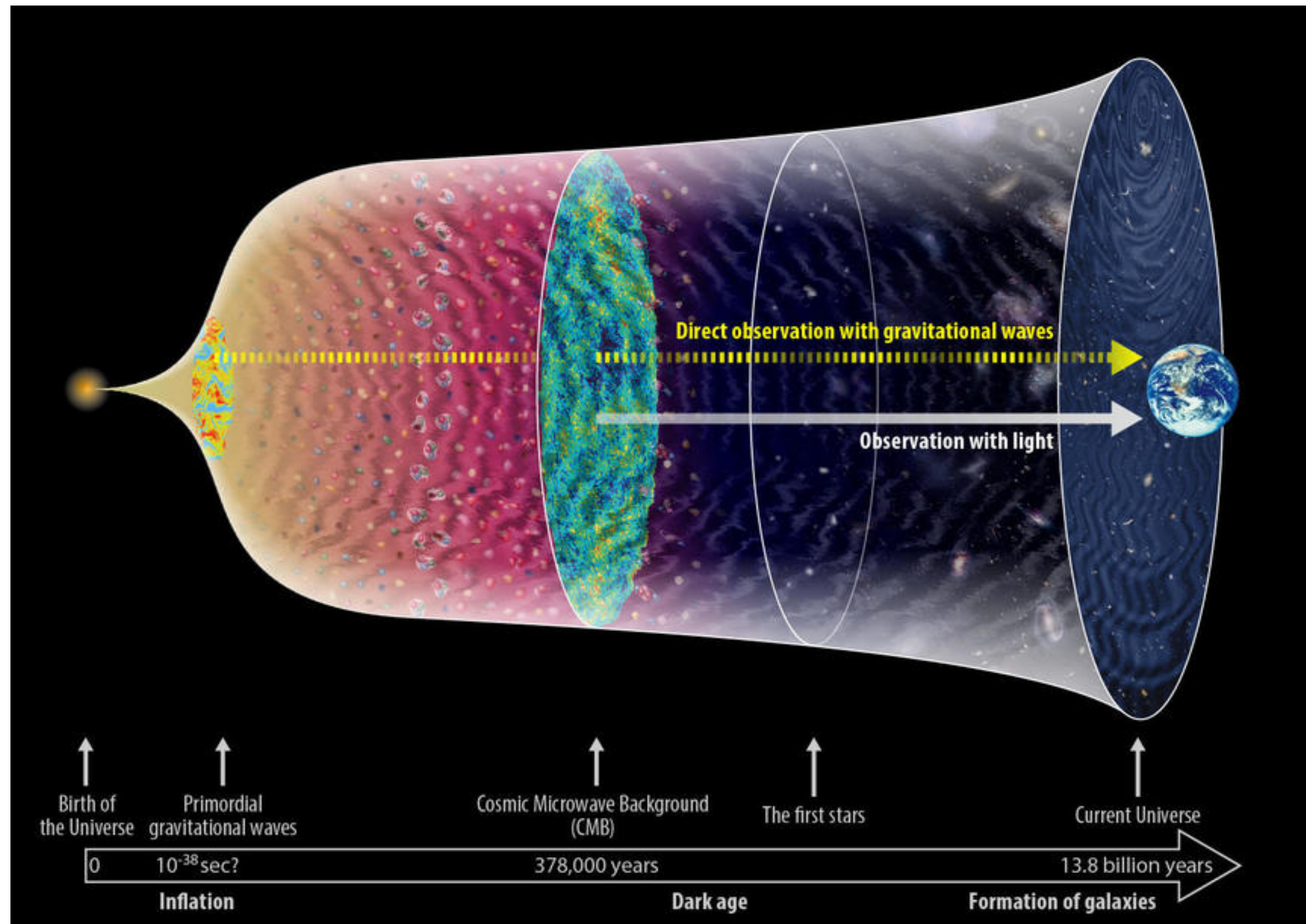
**Tensor perturbations**

First-order scalar spectrum

Sources the generation of second-order GWs

# DETECTION

Characteristic frequencies fall in the band of current and future GW interferometers

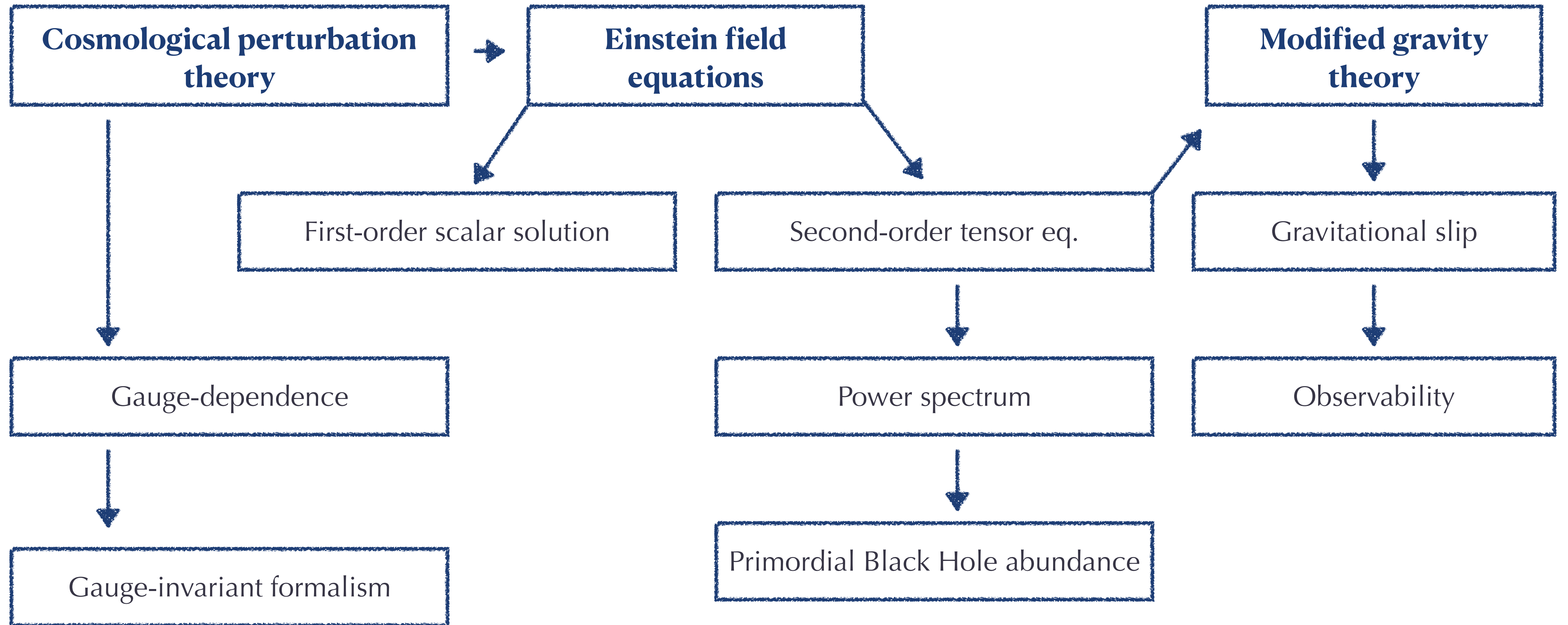


Pulsar Timing Arrays (PTA) observation providing strong evidence for a SGWB & compatibility with “scalar-induced” background

Depends on modeling assumptions highlighting that more data and analysis are needed to discern between cosmological or astrophysical origin

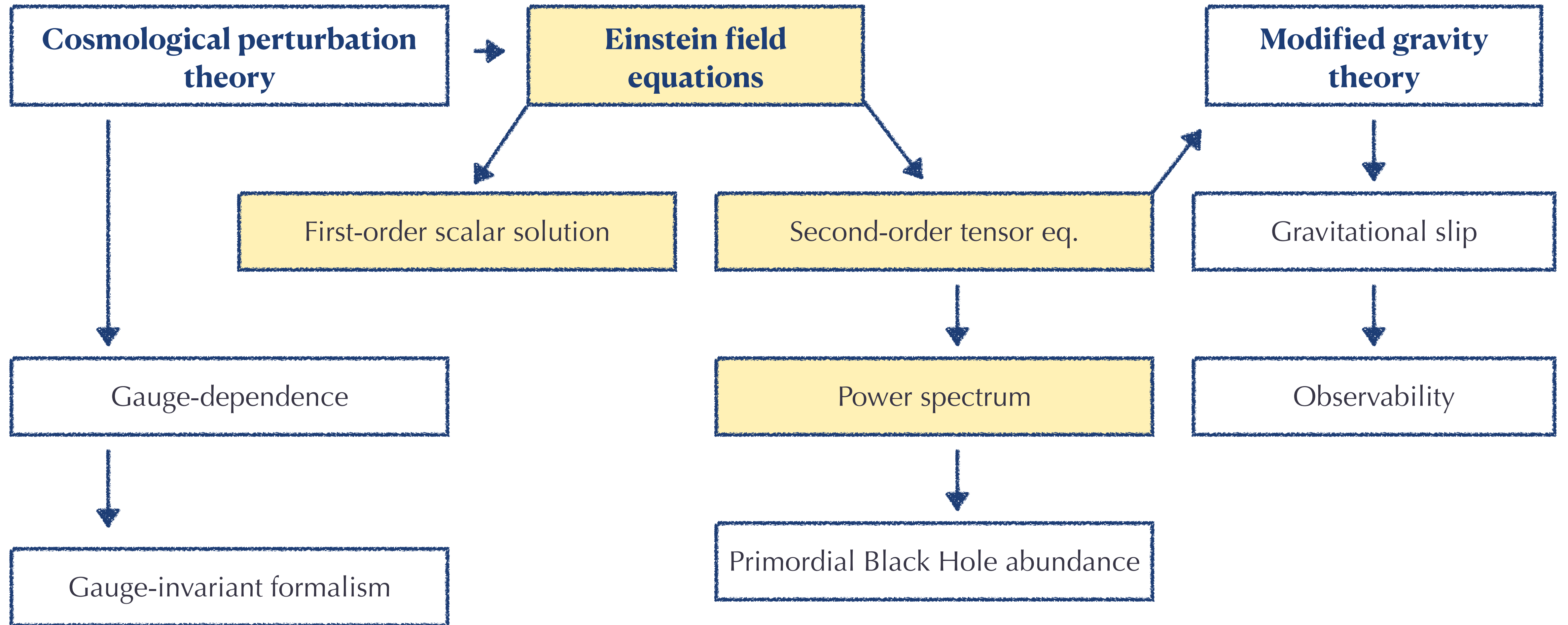
References: [arXiv:2307.02399v2](https://arxiv.org/abs/2307.02399v2)

# Project structure





# Project structure



# SIGW E.o.M

$$\chi_j^{i(2)''} + 2\mathcal{H}\chi_j^{i(2)'} - \nabla^2\chi_j^{i(2)} = -4P_{jm}^{li}S_l^m$$

$$\begin{aligned} S_j^i &= \partial^i\phi^{(1)}\partial_j\phi^{(1)} + 2\phi^{(1)}\partial^i\partial_j\phi^{(1)} - 2\psi^{(1)}\partial^i\partial_j\phi^{(1)} - \partial_j\phi^{(1)}\partial^i\psi^{(1)} - \partial^i\phi^{(1)}\partial_j\psi^{(1)} \\ &+ 3\partial^i\psi^{(1)}\partial_j\psi^{(1)} + 4\psi^{(1)}\partial^i\partial_j\psi^{(1)} \\ &- \frac{4}{3\mathcal{H}^2(1+w)} \left[ \partial^i \left( \psi^{(1)'} + \mathcal{H}\phi^{(1)} \right) \partial_j \left( \psi^{(1)'} + \mathcal{H}\phi^{(1)} \right) \right] \end{aligned}$$

$$+ 4\psi^{(1)}\delta^{ik} \left( \partial_j\partial_k - \frac{1}{3}\nabla^2\delta_{jk} \right) \left( \phi^{(1)} - \psi^{(1)} \right) \longrightarrow \text{Anisotropic stress}$$

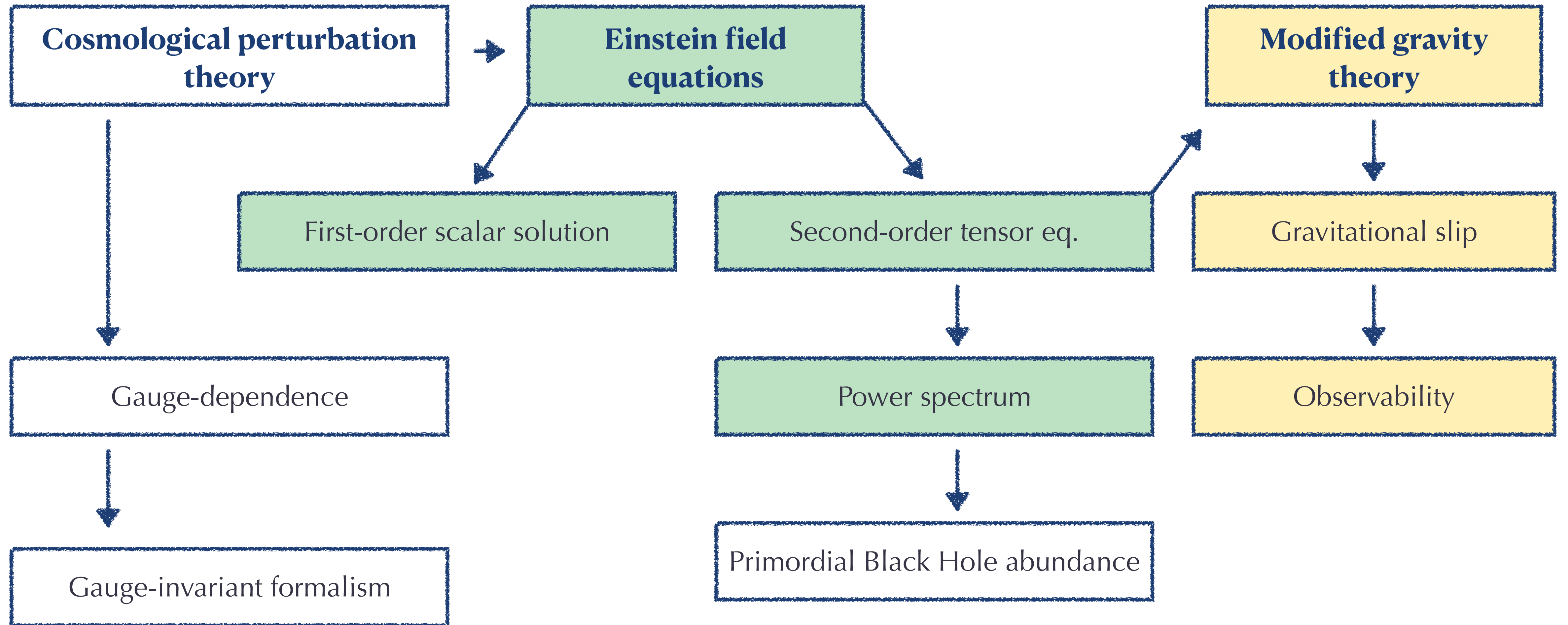
# ANISOTROPIC STRESS

## Standard GR

- Contribution from free-streaming photon and neutrinos is small and negligible at late times
- At linear-order, scalar potentials are set to be equal to one another,  $\phi^{(1)} = \psi^{(1)}$

**Mismatch between scalar potentials?**

# Project structure



# Gravitational slip

A non-standard relation between the potentials can arise due to modification in geometric part of Einstein equation

*Background quantity* →

$$\psi^{(1)} - \phi^{(1)} = \sigma(a)\Pi + \pi_m$$

← *Anisotropic stress source*

← *Combination of linear perturbations*

# MODIFIED GRAVITY

- $f(R)$  gravity, Lagrangian density  $f$  is a function of Ricci scalar
- Model considered:  $f(R) = R + \alpha R^2$
- Concentrating on the first-order corrections, i.e.  $\mathcal{O}(\alpha)$

$$\psi^{(1)} - \phi^{(1)} = \frac{F^{(1)}}{F^{(0)}}$$

## GRAVITATIONAL SLIP in $f(R)$

$$\begin{aligned} \kappa^2 \pi_j^{(1)i} = & - \left(1 + 12\alpha a^{-2} [\mathcal{H}' + \mathcal{H}^2]\right) \left(\partial^i \partial_j - \frac{1}{3} \nabla^2 \delta_j^i\right) \left(\phi^{(1)} - \psi^{(1)}\right) \\ & - 2\alpha a^{-2} \left(\partial^i \partial_j - \frac{1}{3} \nabla^2 \delta_j^i\right) \left(-6\psi^{(1)''} - 6\mathcal{H}\phi^{(1)'} - 18\mathcal{H}\psi^{(1)'} \right. \\ & \left. - 12[\mathcal{H}' + \mathcal{H}^2]\phi^{(1)} - 2\nabla^2\phi^{(1)} + 4\nabla^2\psi^{(1)}\right). \end{aligned}$$

**NO MODIFICATION** to the fluid description - anisotropic stress contribution neglected, i.e.  $\pi_j^{i(1)} = 0$



# OBSERVABLE

- Fraction of the GW energy density per logarithmic wavelength

$$\Omega_{GW}(\eta, k) = \frac{1}{24} \left( \frac{k}{a(\eta)H(\eta)} \right)^2 \left( \overline{P_\chi(k, \eta)} + \alpha \overline{\delta P_\chi(k, \eta)} \right)$$

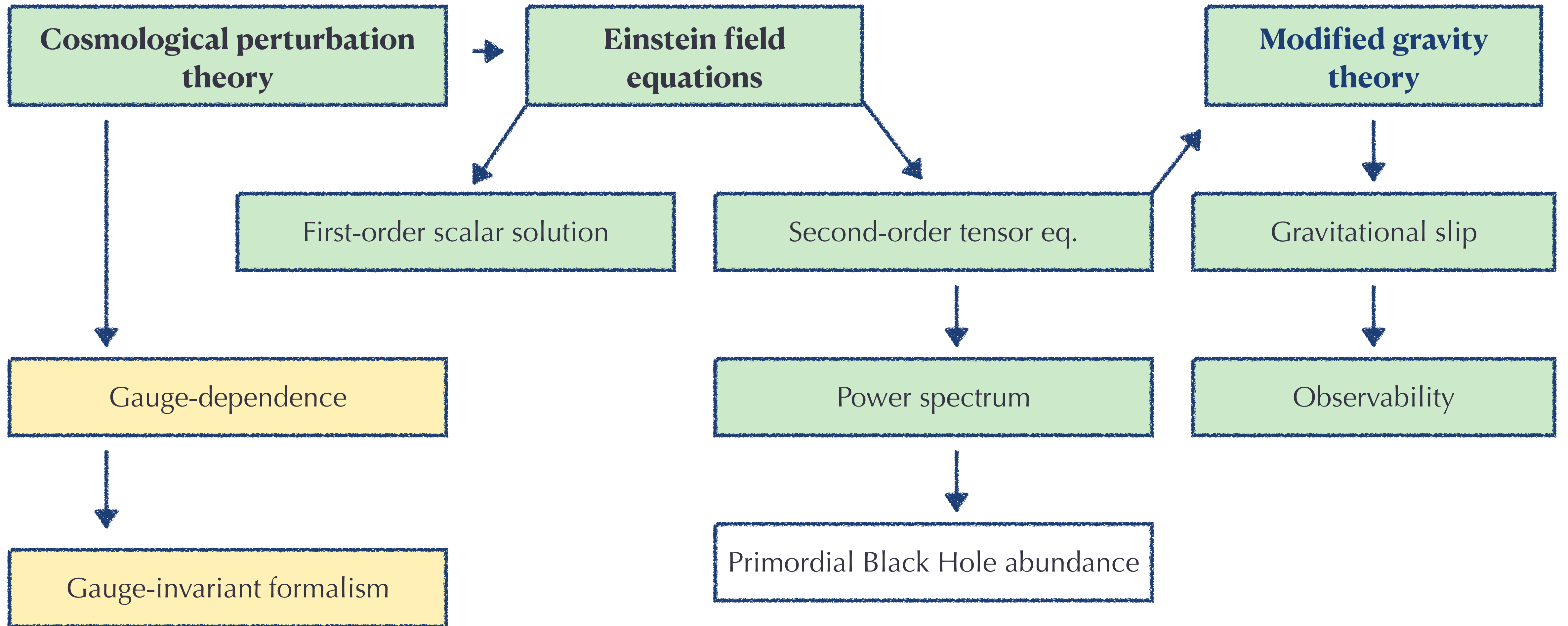
**Broad limit on constraint on  $\alpha$**

$$\alpha R \ll 1$$

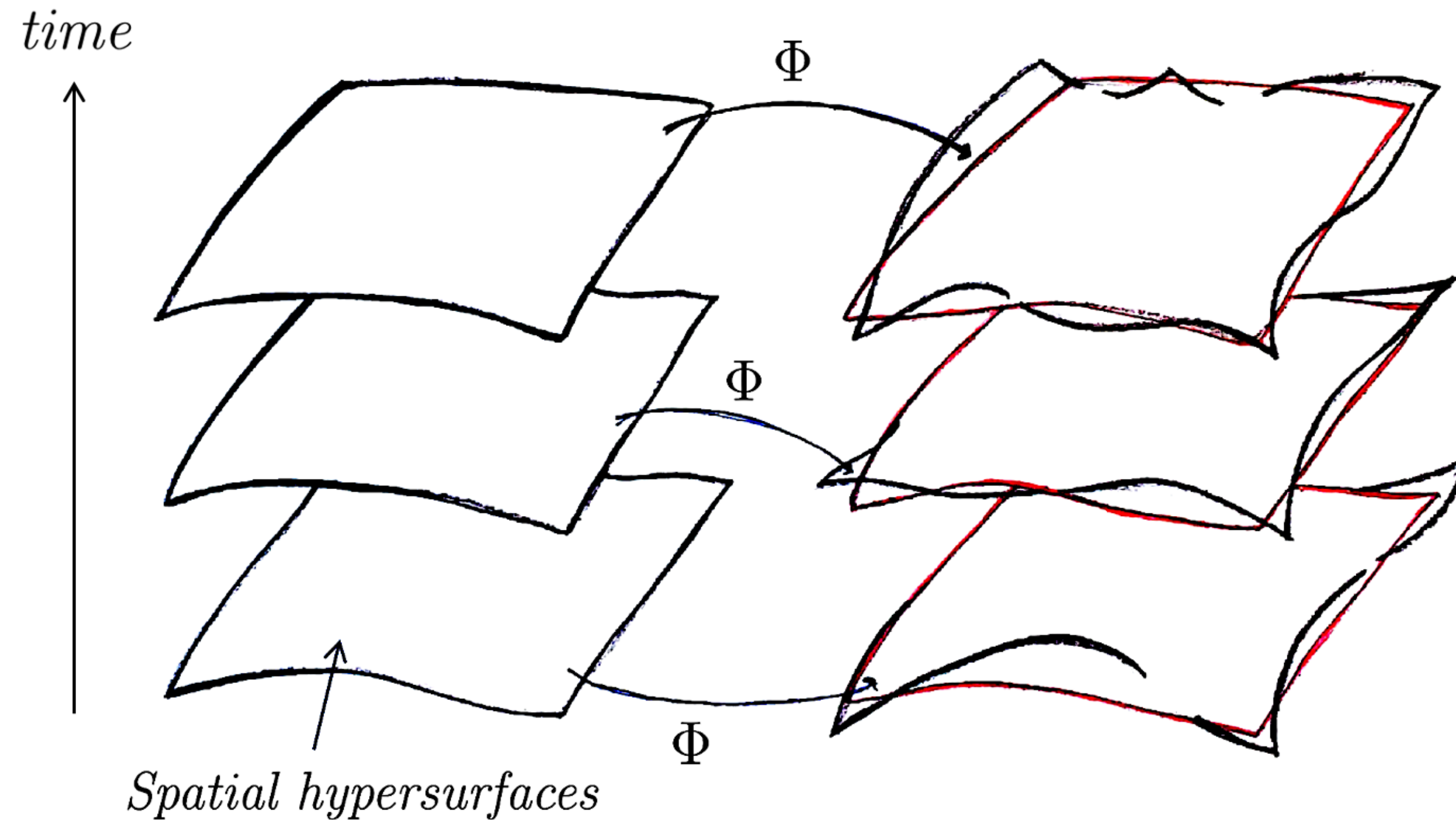
**Extra factor in power spectrum**

$$\delta P \propto k^4$$

# Project structure



# Gauge-dependence



Gauge is the mapping between background and perturbed space  
Chosen to best suit model and problem

First-order perturbations gauge-dependent,  
Source of second-order tensors gauge-dependent

# Gauge-invariant formalism

Poisson gauge

$$w^{(r)} = \chi^{(r)} = \chi_i^{(r)} = 0$$

$$\Phi = \phi^{(1)} - \mathcal{H}\sigma - \sigma'$$

$$\Psi = \psi^{(1)} + \mathcal{H}\sigma$$

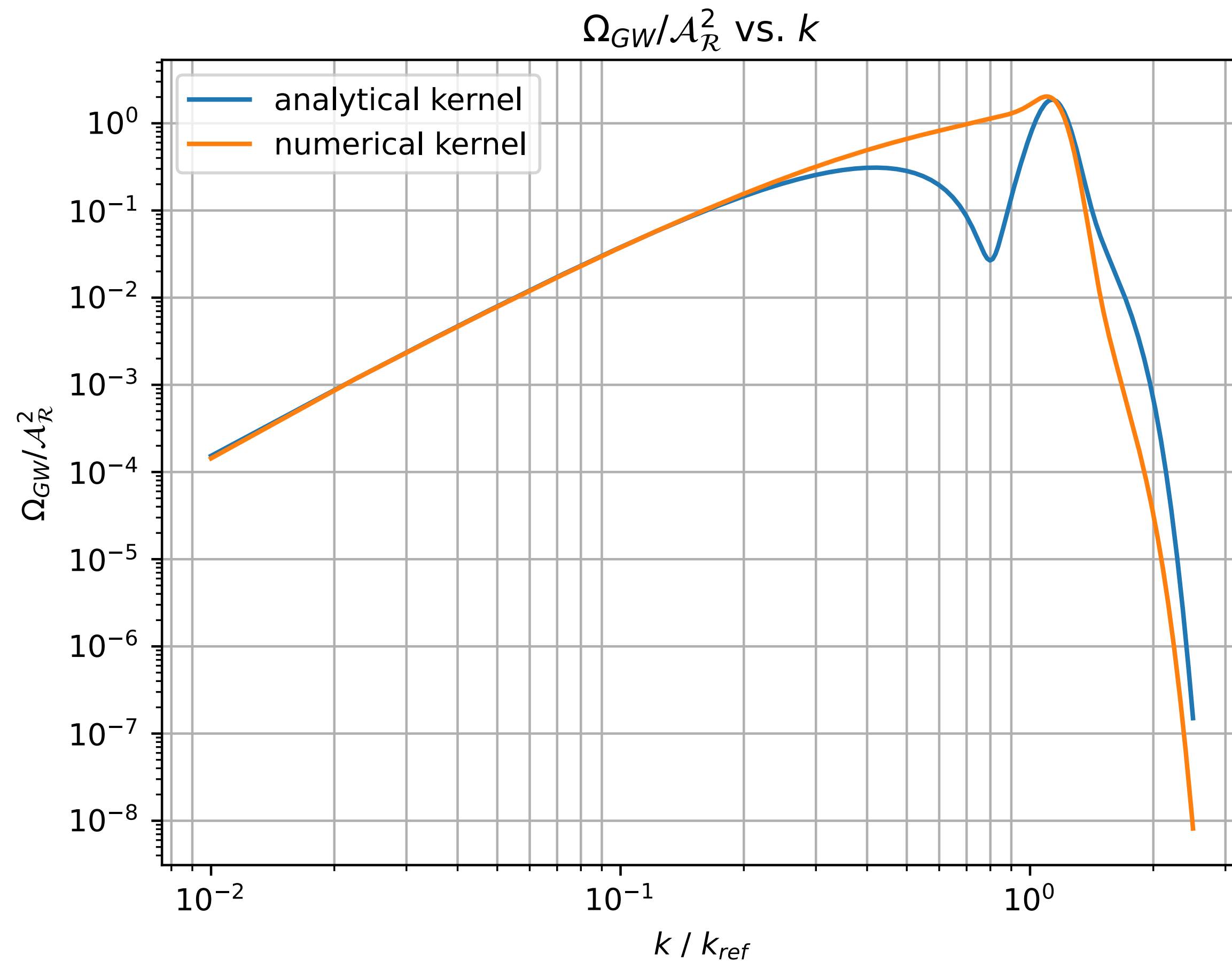
Spatially flat gauge

$$\psi^{(r)} = \chi^{(r)} = 0$$

$$\tilde{\phi}^{(1)} = \phi^{(1)} + \psi^{(1)} - \left( -\frac{\psi^{(1)}}{\mathcal{H}} \right)'$$

$$\tilde{w}^{(1)} = w^{(1)} - \frac{\psi^{(1)}}{\mathcal{H}} - \chi^{(1)'}$$

# Spectral Energy Density



Ideal solution would be to find a form of the energy density of GWs, which will remain gauge-invariant under second-order gauge transformation

arXiv:1912.00885  
arXiv:1911.09689  
arXiv:2012.14016

**What else?**

# PRIMORDIAL BLACK HOLES

**Which density fluctuations are the source of induced GWs?**

1. Large fluctuations re-entering horizon can collapse and form PBHs
2. After formation, gas of PBHs can be treated as fluid with density fluctuations, GW produced via gravitational potential of gas of PBHs

**Scalar-induced gravitational waves can provide constraints on abundance of PBHs**

References: [arXiv:1612.06264v2](#) & [arXiv:2012.08151v2](#)

# Conclusion

- Detection of scalar-induced gravitational waves has opened up a new door for cosmology
- Can be used to probe various early universe and modified gravity theories
- Gravitational slip signature in the source term seen in the observable spectrum.
- Future studies on connection to Primordial Black Holes



**Back up slides**

# Decomposing scalar potentials

- Defining scalar perturbation as:

$$\phi^{(1)} = \phi_{gr}^{(1)} + \alpha \delta \phi^{(1)}$$

$$\psi^{(1)} = \psi_{gr}^{(1)} + \alpha \delta \psi^{(1)}$$



$$\phi_{gr}^{(1)}$$

## GRAVITATIONAL SLIP in $f(R)$

$$\delta\psi^{(1)} = \delta\phi^{(1)} + 2a^{-2} \left( -6\phi_{gr}^{(1)''} - 24\mathcal{H}\phi_{gr}^{(1)'} - 12[\mathcal{H}' + \mathcal{H}^2]\phi_{gr}^{(1)} + 2\nabla^2\phi_{gr}^{(1)} \right).$$

- Non-standard relation at first-order  $\mathcal{O}(\alpha)$  correction,  $\delta\phi^{(1)} \neq \delta\psi^{(1)}$

# SIGW E.o.M in $f(R)$ gravity

$$(1 + 12a^{-2}\alpha [\mathcal{H}' + \mathcal{H}^2]) \left( \chi_j^{i(2)''} + 2\mathcal{H}\chi_j^{i(2)'} + k^2\chi_j^{i(2)} \right) + 12a^{-2}\alpha [\mathcal{H}'' + 2\mathcal{H}\mathcal{H}'] \chi_j^{i(2)'} = S_{gr} + \alpha\delta S,$$

*Standard GR*

*First-order  
modification*

# Source in $f(R)$ gravity

$$\begin{aligned}
 \delta S(\mathbf{k}, \eta) &= \mathbf{e}_m^l(\mathbf{k}) \delta S_l^m(\mathbf{k}) \\
 &= 4 \int \frac{d^3 \tilde{\mathbf{k}}}{(2\pi)^{3/2}} \mathbf{e}_m^l(\mathbf{k}) \tilde{k}_l \tilde{k}^m \left[ 6\delta\phi_{\tilde{k}}^{(1)} \phi_{gr, k-\tilde{k}}^{(1)} + 2\eta\delta\phi_{\tilde{k}}^{(1)} \phi_{gr, k-\tilde{k}}^{(1)'} \right. \\
 &\quad + 2\eta\delta\phi_{\tilde{k}}^{(1)'} \phi_{gr, k-\tilde{k}}^{(1)} + 2\eta^2\delta\phi_{\tilde{k}}^{(1)'} \phi_{gr, k-\tilde{k}}^{(1)'} \\
 &\quad - \left( \frac{\eta^*}{a^*} \right)^2 \left\{ \left( 96\eta^{-2} + 4\tilde{k}^2 \right) \phi_{gr, \tilde{k}}^{(1)'} \phi_{gr, k-\tilde{k}}^{(1)'} + 60\eta^{-2} \phi_{gr, \tilde{k}}^{(1)} \phi_{gr, k-\tilde{k}}^{(1)''} + 12\eta^{-1} \phi_{gr, \tilde{k}}^{(1)} \phi_{gr, k-\tilde{k}}^{(1)'''} \right. \\
 &\quad \left. \left. + 36\eta^{-1} \phi_{gr, \tilde{k}}^{(1)'} \phi_{gr, k-\tilde{k}}^{(1)''} + 12\phi_{gr, \tilde{k}}^{(1)'} \phi_{gr, k-\tilde{k}}^{(1)'''} + 4\tilde{k}^2 \eta^{-2} \phi_{gr, \tilde{k}}^{(1)} \phi_{gr, k-\tilde{k}}^{(1)} \right\} \right].
 \end{aligned}$$

# Power spectrum

- Power spectrum of second-order tensor mode

$$P_{\chi}(k, \eta) + \delta P_{\chi}(k, \eta) = 8 \int_0^{\infty} dv \int_{|1-v|}^{1+v} du \left( \frac{4v^2 - (1 - u^2 + v^2)^2}{4uv} \right)^2 \\ \times (I^2(\eta, k, u, v) + 2I(\eta, k, u, v)\delta I(\eta, k, u, v)) P_{\zeta}(ku)P_{\zeta}(kv)$$

- Primordial curvature power spectrum

$$P_{\zeta}(k) = \frac{\mathcal{A}_{\zeta}}{\sqrt{2\pi\sigma^2}} \exp\left(-\frac{\ln^2(k/k_*)}{2\sigma^2}\right)$$

# OBSERVABLE

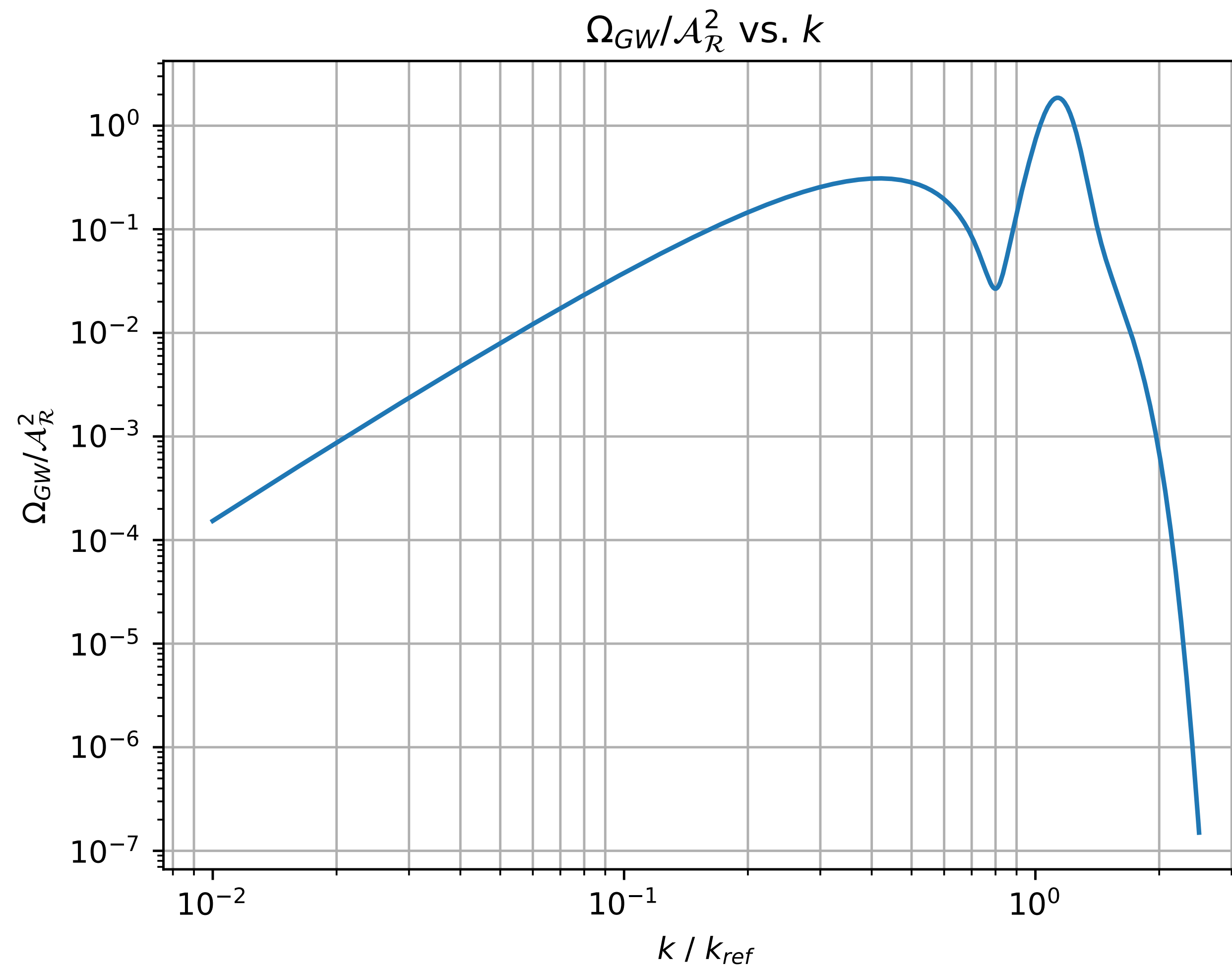
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- Observable spectrum today

$$\Omega_{GW,0}(\eta_0, k)h^2 = \Omega_{r,0}(k)h^2 \left( \frac{g_*(\eta_0)}{g_*(\eta_k)} \right)^{1/3} \Omega_{GW,e}(k)$$

# OBSERVABLE





# Gauge-transformation

$$\tilde{\phi}^{(1)} = \phi^{(1)} - \mathcal{H}\xi^0 - (\xi^0)'$$

$$\tilde{\psi}^{(1)} = \psi^{(1)} + \mathcal{H}\xi^0$$

$$\tilde{w}^{(1)} = w^{(1)} + \xi^0 - \xi'$$

$$\tilde{\chi}^{(1)} = \chi^{(1)} - \xi$$

# Spectral Energy Density

