

Analysis of supernova neutrino fluxes and neutron star properties

Andrea Gallo Rosso
Gran Sasso Science Institute
Astroparticule et Cosmologie (APC)

Advisors: F. Vissani and C. Volpe

5th April 2019

List of publications

A. Gallo Rosso *et al.* JCAP 1812 (2018) no.12, 006.

V. Gentile *et al.* JCAP 2018 (2018) no.08, 015.

A. Gallo Rosso *et al.* JCAP 1804 (2018) no.04, 040.

A. Gallo Rosso *et al.* JCAP 1711 (2017) no.11, 036.

} Theoretical Papers

A. Gallo Rosso *et al.* EPJ Plus 133 (2018) no.7, 267.

G. Fantini *et al.* [ISBN:9789813226081].

} Theoretical Reviews

E. Aprile *et al.* Phys.Rev.Lett. 122 (2019) 071301.

E. Aprile *et al.* Phys.Rev.Lett. 121 (2018) no.11, 111302.

E. Aprile *et al.* Phys.Rev. D97 (2018) no.9, 092007.

E. Aprile *et al.* Phys.Rev. D96 (2017) no.12, 122002.

E. Aprile *et al.* Eur.Phys.J. C77 (2017) no.12, 881.

E. Aprile *et al.* Eur.Phys.J. C78 (2018) no.2, 132.

E. Aprile *et al.* Phys.Rev.Lett. 119 (2017) no.18, 181301.

E. Aprile *et al.* Phys.Rev. D96 (2017) no.4, 042004.

E. Aprile *et al.* Eur.Phys.J. C77 (2017) no.12, 890.

...

} XENON collaboration

List of publications

A. Gallo Rosso *et al.* JCAP 1812 (2018) no.12, 006.

V. Gentile *et al.* JCAP 2018 (2018) no.08, 015.

A. Gallo Rosso *et al.* JCAP 1804 (2018) no.04, 040.

A. Gallo Rosso *et al.* JCAP 1711 (2017) no.11, 036.

A. Gallo Rosso *et al.* EPJ Plus 133 (2018) no.7, 267.

G. Fantini *et al.* [ISBN:9789813226081].

E. Aprile *et al.* Phys.Rev.Lett. 122 (2019) 071301.

E. Aprile *et al.* Phys.Rev.Lett. 121 (2018) no.11, 111302.

E. Aprile *et al.* Phys.Rev. D97 (2018) no.9, 092007.

E. Aprile *et al.* Phys.Rev. D96 (2017) no.12, 122002.

E. Aprile *et al.* Eur.Phys.J. C77 (2017) no.12, 881.

E. Aprile *et al.* Eur.Phys.J. C78 (2018) no.2, 132.

E. Aprile *et al.* Phys.Rev.Lett. 119 (2017) no.18, 181301.

E. Aprile *et al.* Phys.Rev. D96 (2017) no.4, 042004.

E. Aprile *et al.* Eur.Phys.J. C77 (2017) no.12, 890.

...

} Theoretical Papers

} Theoretical Reviews

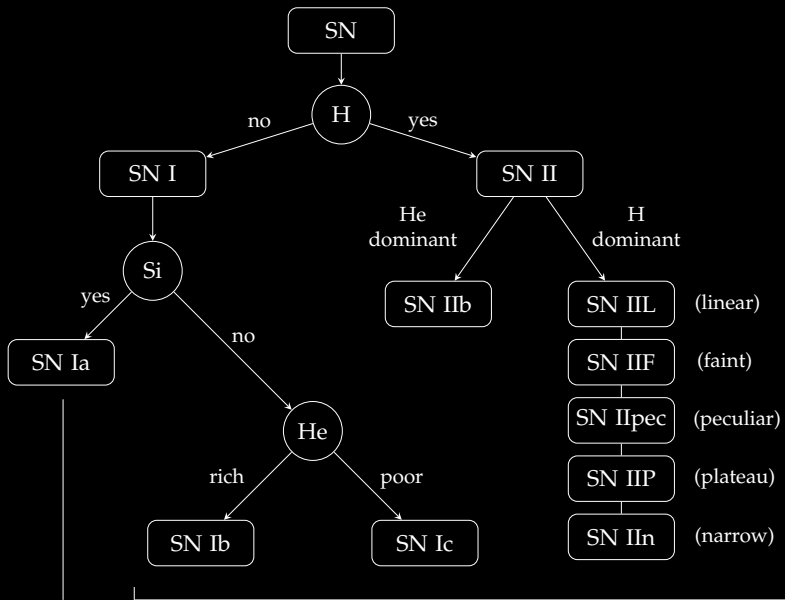
} XENON collaboration

Introduction



SN 1987A

Introduction



THERMONUCLEAR

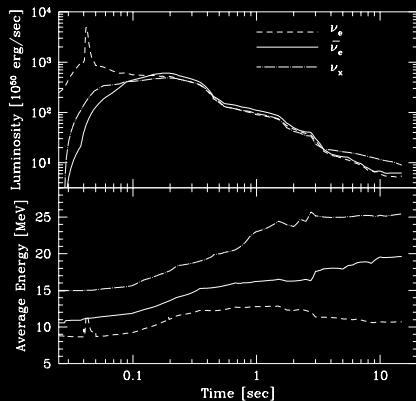
CORE COLLAPSE

CORE-COLLAPSE SUPERNOVA EXPLOSION

- Longstanding open question in astrophysics
- $\sim 10^{53}$ erg gravitational binding energy
 - 99% emitted in neutrinos
- ~ 10 s signal
- Delayed-accretion paradigm
 - From Wilson (1971) & Bethe and Wilson (1985)
 - To 2D & 3D numerical simulations

DELAYED EXPLOSION

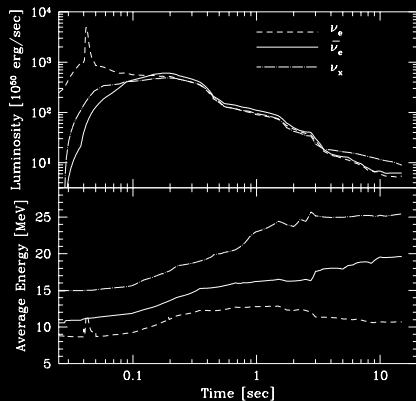
1. Instability & collapse
2. Bounce & shock propagation
3. Stallation & accretion
4. Cooling



T. Totani et al., *Astrophys. J.* 496 (1998).

DELAYED EXPLOSION

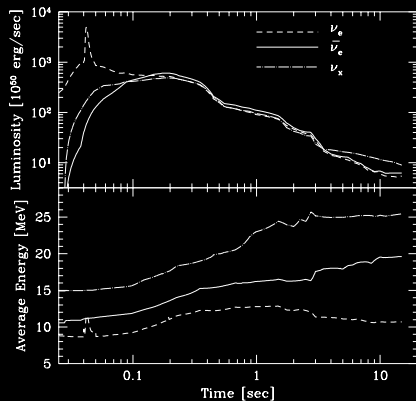
1. Instability & collapse
2. **Bounce & shock propagation**
3. Stallation & accretion
4. Cooling



T. Totani et al., *Astrophys. J.* 496 (1998).

DELAYED EXPLOSION

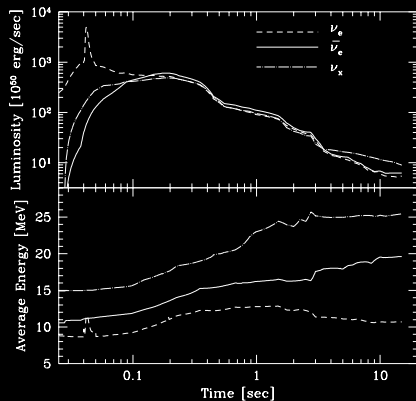
1. Instability & collapse
2. Bounce & shock propagation
3. **Stallation & accretion**
4. Cooling



T. Totani et al., *Astrophys. J.* 496 (1998).

DELAYED EXPLOSION

1. Instability & collapse
2. Bounce & shock propagation
3. Stallation & accretion
4. **Cooling**



T. Totani et al., *Astrophys. J.* 496 (1998).

NEUTRINO MESSENGERS

- Weakly interacting
 - 99% of binding energy emitted in neutrinos
- 6 flavors: $\nu_e \nu_\mu \nu_\tau \bar{\nu}_e \bar{\nu}_\mu \bar{\nu}_\tau$
- Flavor transformation
 - Vacuum: determined with good accuracy
 - Matter conversion: Mikheyev-Smirnov-Wolfenstein effect (MSW) ^[1]
 - Self-interaction effects in dense media still studied

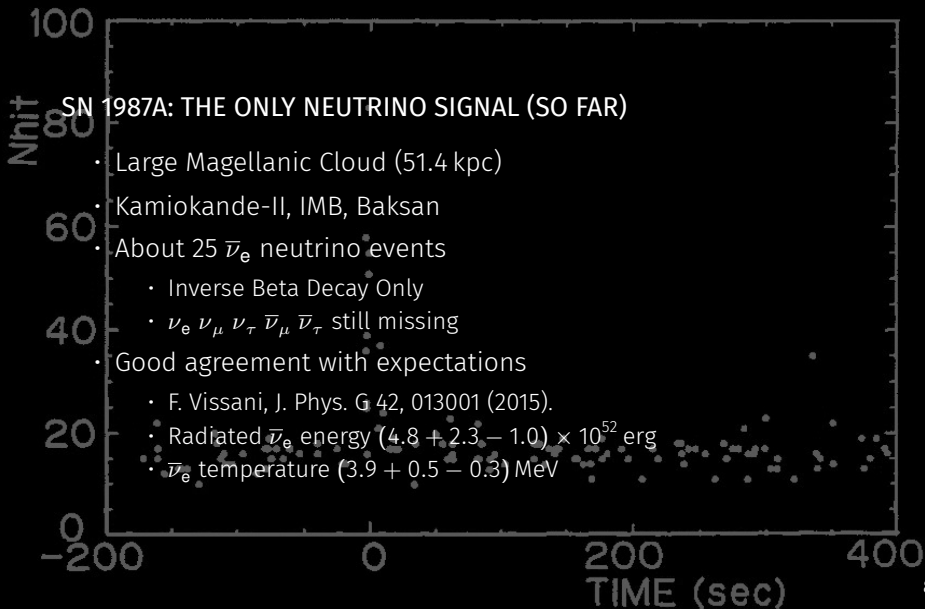
¹L. Wolfenstein, Phys. Rev. D17 (1978).

S.P. Mikheyev and A.Y. Smirnov, Sov. J. Nucl. Phys. 42 (1985).

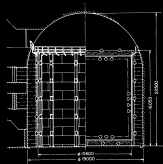
WHAT CAN WE LEARN FROM SUPERNOVA NEUTRINOS?

- Star properties
 - Pointing and alert (SNEWS)
 - Standard candle (ν_e burst)
 - Explosion mechanism
- Particle properties
 - Flavor conversion in dense media
 - Non-standard properties

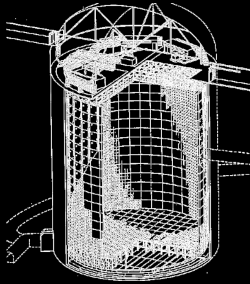
Introduction



Introduction

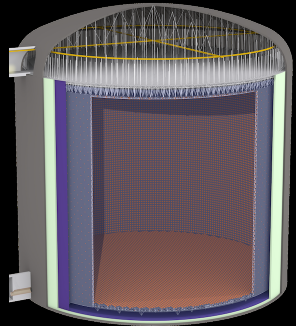


Kamiokande-II



$\sim 10\times$

Super-Kamiokande

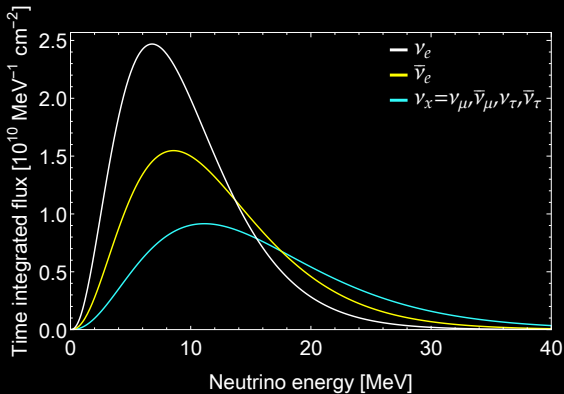


$\sim 10\times$

Hyper-Kamiokande

MANY DETECTION CHANNELS — ENERGY, TIME, FLAVOR

Introduction

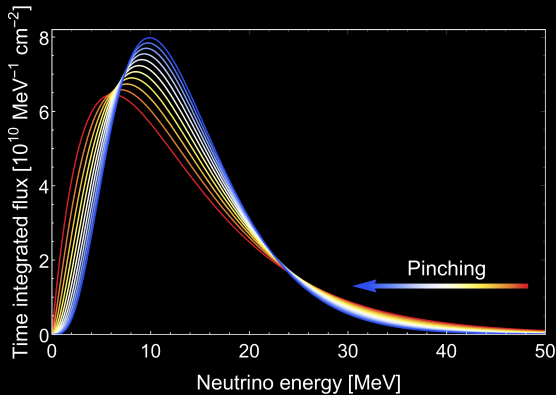


TIME INTEGRATED FLUX (FLUENCE)

- Total energy \mathcal{E} \Leftrightarrow normalization
- Mean energy $\langle E \rangle$ \Leftrightarrow 1st moment
- Pinching α \Leftrightarrow width

3 PARAMETERS \times 3 SPECIES = 9 D.O.F.

Introduction



TIME INTEGRATED FLUX (FLUENCE)

Total energy \mathcal{E} \Leftrightarrow normalization
Mean energy $\langle E \rangle$ \Leftrightarrow 1st moment
Pinching α \Leftrightarrow width

3 PARAMETERS \times 3 SPECIES = 9 D.O.F.

NUMBER OF PARAMETERS ARBITRARILY REDUCED

- Lu *et al.* ^[2] JUNO detector
- Importance of combining channels
 - $\mathcal{E}_{\bar{\nu}_e}$ up to 5% @ 90% C.L.
 - $\langle E_{\bar{\nu}_e} \rangle$ up to 1% @ 90% C.L.

↓ with MSW transformation
w/o equipartition ($\mathcal{E}_{\text{tot}} \neq \mathcal{E}_i/6$)

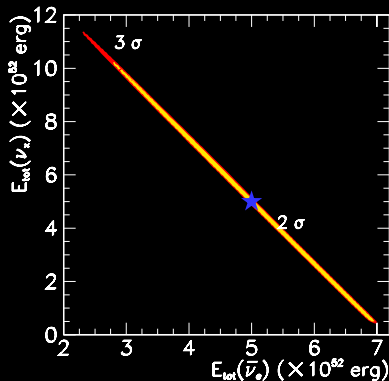
\mathcal{E}_{tot} known up to 13%

but for spectral shape (i.e. pinching) fully known

²Lu *et al.* Phys. Rev. D 94, 023006 (2016).

DIFFICULTY IN RECONSTRUCTING THE BINDING ENERGY

- H. Minakata *et al.* ^[3]
- Hyper-Kamiokande
- only $\bar{\nu}_e + p \rightarrow e^+ + n$
- If pinching unknown
 - $\mathcal{E}_{\bar{\nu}_e}$ acc. 50% @ 3σ
 - $\langle E_{\bar{\nu}_e} \rangle$ acc. 4% @ 3σ
 - **Parameter degeneracy**



³H. Minakata *et al.*, JCAP 0812, 006 (2008).

WHAT CAN WE LEARN FROM SUPERNOVA NEUTRINOS?

- How well can we reconstruct the neutrino fluxes without any usual assumptions?
- Will the uncertainty on the pinching compromise the determination of key properties?
- What is the impact of including other detection channels?
- What can we infer on the neutron star properties?

1. FLUX RECONSTRUCTION AND $M-R$ RELATION OF THE NEUTRON STAR

- Monte Carlo based likelihood analyses
- Without usual assumptions
- Shape α unknown
- Three detection channels (9 d.o.f.)
 - $\bar{\nu}_e + p \rightarrow e^+ + n$ (IBD)
 - $\nu + e^- \rightarrow \nu + e^-$ (ES)
 - $\nu + {}^{16}\text{O} \rightarrow \nu + X + \gamma$ (OS)

REFERENCE PAPERS

- A. Gallo Rosso, F. Vissani, M.C. Volpe, JCAP 1711 (2017) no.11, 036
- A. Gallo Rosso, F. Vissani, M.C. Volpe, JCAP 1804 (2018) no.04, 040

2. LATE-TIME SIGNAL AND PROTO-NEUTRON STAR RADIUS

- First analysis of its kind
- Neutrino signal alone
- Reference model
- Exploration of extended theories of gravity

REFERENCE PAPER

- A. Gallo Rosso, S. Abbar, F. Vissani, M.C. Volpe, JCAP 1812 (2018) no.12, 006

1. Flux reconstruction

1. Flux reconstruction

Hypotheses and method

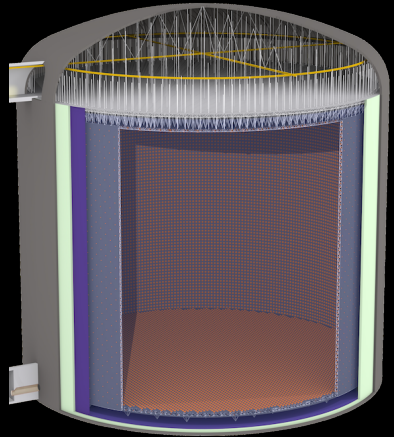
Hypotheses and method

SUPERNOVA PARAMETERS

- Distance $D^* = 10$ kpc
- Total energy $\mathcal{E}^* = 3 \times 10^{53}$ erg

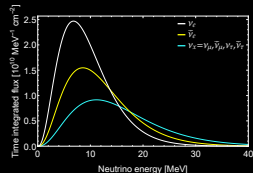
DETECTORS

- Super-Kamiokande
 - 22.5 kton (fiducial mass)
- Hyper-Kamiokande
 - 374 kton (fiducial mass)
- 5 MeV threshold
- 100% efficiency



TIME-INTEGRATED FLUXES (FLUENCES)

- Quasi-thermal alpha-fit ^[4]
 - 3 neutrino species ($\nu_e, \bar{\nu}_e, \nu_x$)
 - 3 parameters ($\mathcal{E}, \langle E \rangle, \alpha$)



$$\frac{dF_i^0}{dE_\nu} = \frac{\mathcal{E}_i}{4\pi D^2} \frac{(\alpha_i + 1)^{(\alpha_i + 1)}}{\Gamma(\alpha_i + 1)} \frac{E^{\alpha_i}}{\langle E_i \rangle^{\alpha_i + 2}} \exp \left[-(\alpha_i + 1) \frac{E}{\langle E_i \rangle} \right]$$

- Agreement with SN 1987A data
- Good description of simulations

⁴M.T. Keil et al., *Astrophys. J.* 590 (2003).

NEUTRINO FLAVOR TRANSFORMATIONS IN SUPERNOVAE

- Normal mass hierarchy
- Mikheyev-Smirnov-Wolfenstein (MSW) effect

$$\begin{cases} F_{\nu_e} = F_x^0 \\ F_{\bar{\nu}_e} = |U_{e1}|^2 \cdot F_{\bar{\nu}_e}^0 + (1 - |U_{e1}|^2) \cdot F_x^0 \end{cases}$$

- Neutrino self-interaction neglected

Hypotheses and method

TOTAL ENERGIES

- $\mathcal{E}_i^* = 0.5 \times 10^{53} \text{ erg}$

MEAN ENERGIES

- $\langle E_{\nu_e} \rangle^* = 9.5 \text{ MeV}$
- $\langle E_{\bar{\nu}_e} \rangle^* = 12 \text{ MeV}$
- $\langle E_{\nu_x} \rangle^* = 15.6 \text{ MeV}$

PINCHING PARAMETERS

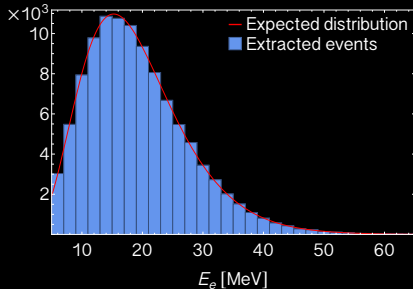
- $\alpha_i^* = 2.5$

C. Lujan-Peschard et al., JCAP (2014).

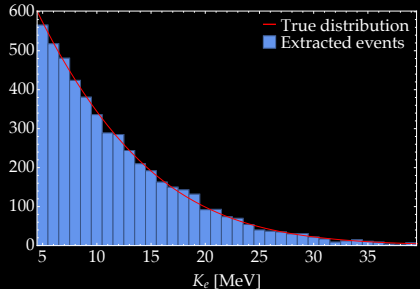


Hypotheses and method

— HYPER-KAMIOKANDE EXTRACTED EVENTS —

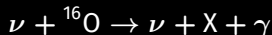


$(76 \times 10^3 \text{ expected events})$

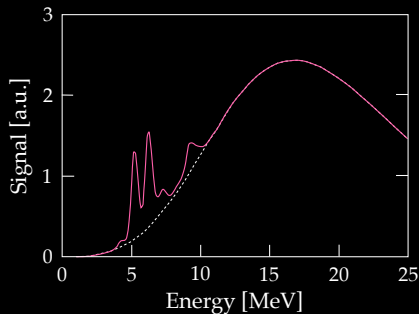


$(4 \times 10^3 \text{ expected events})$

Hypotheses and method



- γ within (4 ÷ 9) MeV
 - ~ 800 OS
 - ~ 8000 IBD+ES
- Non-Gaussian smearing
- No disentangling IBD+ES
- Neutral-Current Region
 - \hookrightarrow NCR = IBD + ES + OS



K. Langanke et al., Phys. Rev. Lett. 76 (1996).

NEUTRINO-OXYGEN CROSS SECTION

$$\sigma_{OS}(E_\nu) \approx \kappa \cdot \sigma_0 \cdot (E_\nu/\text{MeV} - 15)^4 \quad [5]$$

- measurements expected ^[6]
 - 10% uncertainty (optimistic)
 - Systematic $\sim \text{Gauss}(\kappa^* = 1, \sigma_\kappa = 0.1)$
 - Results weakly concerned
- } 10th parameter κ

⁵J.F. Beacom and P. Vogel, PRD 58 (1998) 053010.

⁶K. Scholberg, talk at CNNP2017.

LIKELIHOODS

$$\mathcal{L}_j(\text{param.}) \propto \prod_{i=1}^{N_{\text{bin}}} \frac{\nu_i^{n_i}}{n_i} e^{-\nu_i} \quad \text{with } j = \text{IBD, ES}$$

$$\mathcal{L}_{\text{NCR}}(\text{param.}) \propto \exp \left[-\frac{(n_{\text{NCR}} - N_{\text{NCR}})^2}{2N_{\text{NCR}}} - \frac{(\kappa - 1)^2}{2\sigma_\kappa^2} \right]$$

3 ANALYSES

$$\text{IBD} \rightarrow \mathcal{L} = \mathcal{L}_{\text{IBD}}$$

$$\text{IBD} + \text{ES} \rightarrow \mathcal{L} = \mathcal{L}_{\text{IBD}} \times \mathcal{L}_{\text{ES}}$$

$$\text{IBD} + \text{ES} + \text{NCR} \rightarrow \mathcal{L} = \mathcal{L}_{\text{IBD}} \times \mathcal{L}_{\text{ES}} \times \mathcal{L}_{\text{NCR}}$$

Hypotheses and method

PRIOR

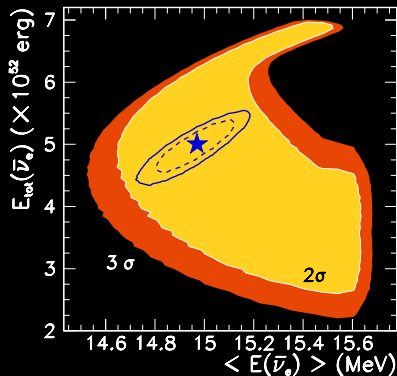
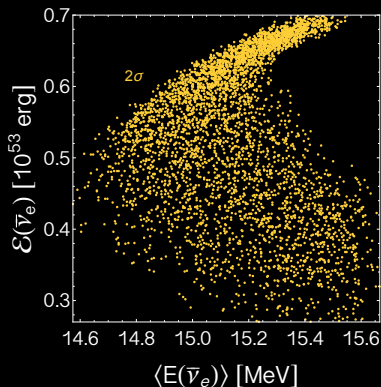
$$\begin{aligned}0.2 \times 10^{53} \text{ erg} &\leq \mathcal{E}_i \leq 1.0 \times 10^{53} \text{ erg} \\5.0 \text{ MeV} &\leq \langle E_i \rangle \leq 30 \text{ MeV} \\1.5 &\leq \alpha_i \leq 3.5 \\0.8 &\leq \kappa \leq 1.2\end{aligned}$$

CONDITION

$$\log \mathcal{L} \geq \log \mathcal{L}_{max} - \frac{1}{2} A_{dof,CL} \quad \text{with} \quad \int_0^A \chi_{dof}^2(z) dz = \text{C.L.}$$

Hypotheses and method

Comparison with Minakata et al. (2008): Good agreement



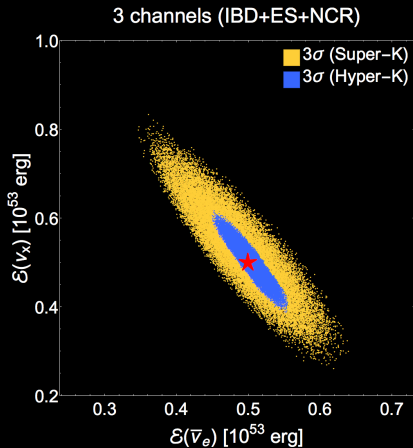
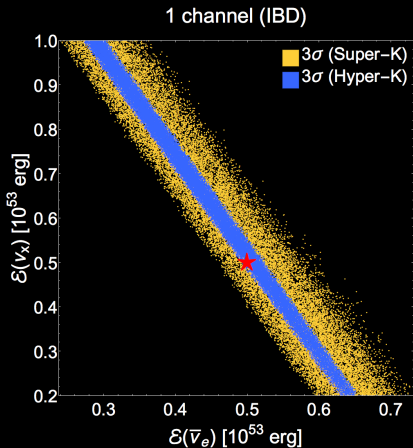
$$\log \mathcal{L}(P_i) \geq \log \mathcal{L}_{\max} - \frac{1}{2} A_{dof,CL} \quad \text{with} \quad \int_0^A \chi_{dof}^2(z) dz = CL$$

1. Flux reconstruction

Results on neutrino fluxes

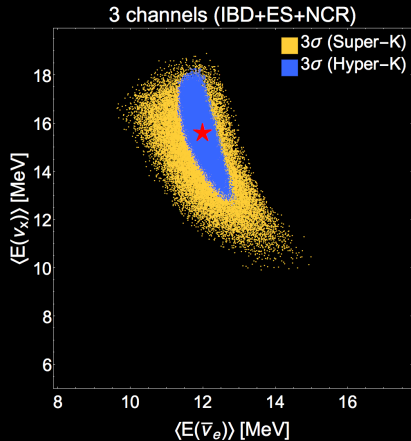
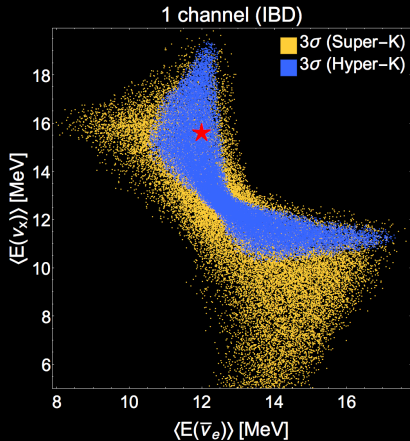
Results on neutrino fluxes

— THE IMPORTANCE OF MANY DETECTION CHANNELS —
Degeneracy broken for $\bar{\nu}_e$ and ν_x total energies

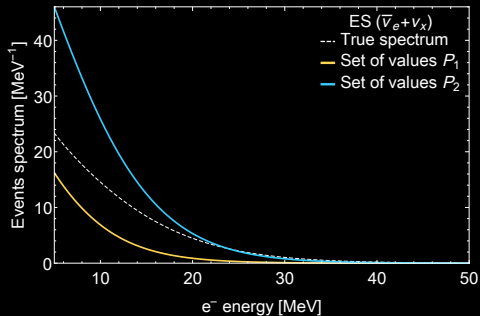
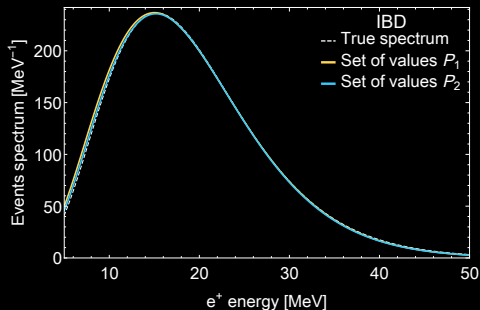


Results on neutrino fluxes

— THE IMPORTANCE OF MANY DETECTION CHANNELS —
Degeneracy broken for $\bar{\nu}_e$ and ν_x mean energies



Results on neutrino fluxes

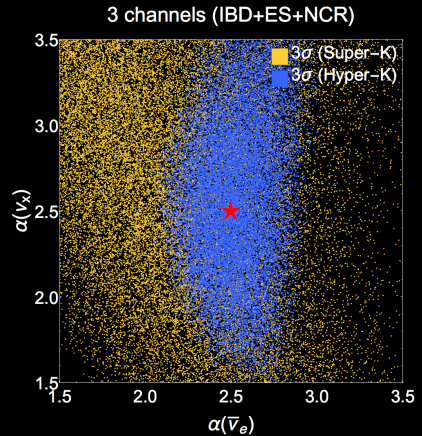
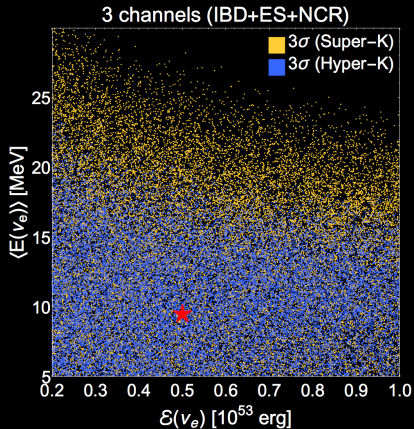


	P_1	P_2
$\mathcal{E}(\bar{\nu}_e)$ [10^{52} erg]	6.65	2.94
$\mathcal{E}(\nu_x)$ [10^{52} erg]	2	10
$\langle E(\bar{\nu}_e) \rangle$ [MeV]	12.8	13.5
$\langle E(\nu_x) \rangle$ [MeV]	9.3	11.9
$\alpha(\bar{\nu}_e)$	2.08	2.08
$\alpha(\nu_x)$	2.16	2.16

Results on neutrino fluxes

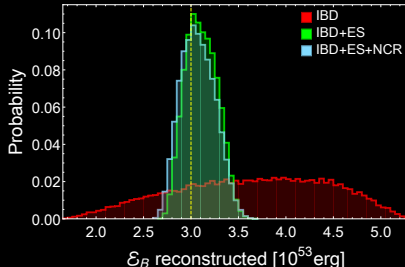
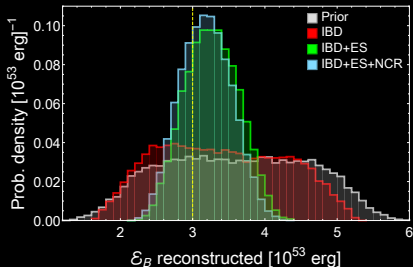
— STILL SOME RESIDUAL UNCERTAINTIES —

ν_e species undetermined and almost all pinching parameters α



Gravitational binding energy of the neutron star

— TOTAL NEUTRINO EMITTED ENERGY —



\mathcal{E}_B	$[10^{53} \text{ erg}]$	Acc. %
IBD	3.40 ± 0.86	25.1
IBD+ES	3.27 ± 0.37	11.2
IBD+ES+NCR	3.18 ± 0.35	11.0

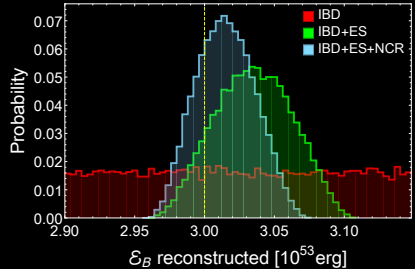
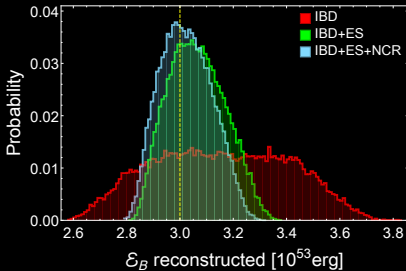
Super-Kamiokande

\mathcal{E}_B	$[10^{53} \text{ erg}]$	Acc. %
IBD	3.64 ± 0.79	21.7
IBD+ES	3.10 ± 0.16	5.3
IBD+ES+NCR	3.07 ± 0.18	5.8

Hyper-Kamiokande

Gravitational binding energy of the neutron star

– TOTAL NEUTRINO EMITTED ENERGY IN EQUIPARTITION ($\mathcal{E}_{\text{tot}} = \mathcal{E}_i/6$) –



\mathcal{E}_B	[10^{53} erg]	Acc. %
IBD	3.15 ± 0.25	7.9
IBD+ES	3.06 ± 0.10	3.4
IBD+ES+NCR	3.023 ± 0.095	3.1

Super-Kamiokande

\mathcal{E}_B	[10^{53} erg]	Acc. %
IBD	3.13 ± 0.23	7.4
IBD+ES	3.130 ± 3.035	0.89
IBD+ES+NCR	3.015 ± 0.021	0.68

Hyper-Kamiokande

1. Flux reconstruction

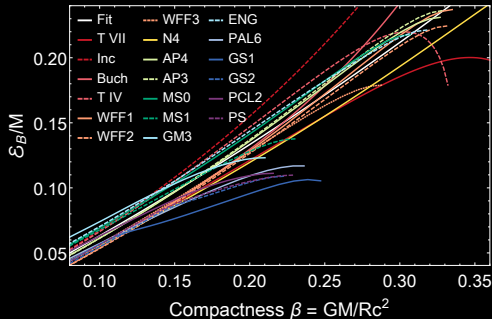
Results on mass–radius relation

Mass-radius relation of the neutron-star

EQUATION OF STATE ^[7]

$$\frac{(0.60 \pm 0.05)\beta}{1 - \beta/2} = \frac{\mathcal{E}_B}{Mc^2}$$

- Relation $\mathcal{E}_B - \beta$
 - $\beta = GM/Rc^2$
 - $\mathcal{E}_B \approx \mathcal{E}_{\text{tot}}$
- 10% uncertainty

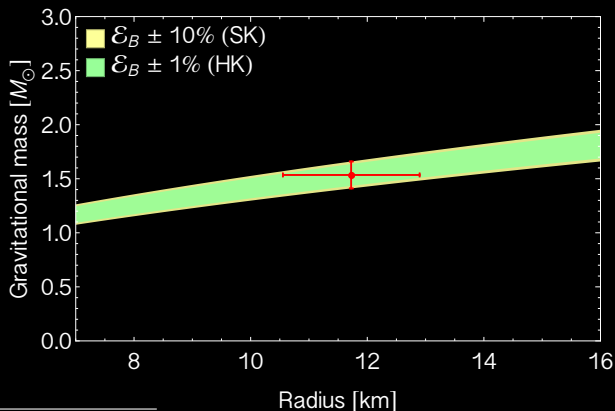


⁷J. M. Lattimer and M. Prakash, Phys. Rept. 442 (2007) 109.

Neutron star

$$M = \sqrt{\frac{\mathcal{E}_B R}{0.6 G}} \left[\sqrt{1 + \epsilon^2} - \epsilon \right] \quad \text{with} \quad \epsilon = \frac{1}{4} \sqrt{\frac{\mathcal{E}_B G}{0.6 R c^4}}$$

Total energy \mathcal{E}_{tot}
+
Equation of state
⇓
M-R constraint⁸



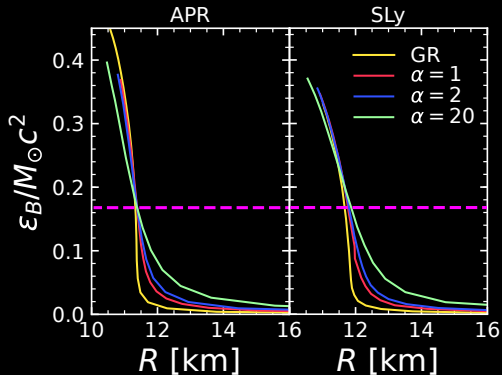
⁸An estimation of the baryonic mass may also be needed.

2. Late time signal and R of PNS

\mathcal{E}_B - R relation

Solving Tolman-Oppenheimer-Volkoff (TOV) equations

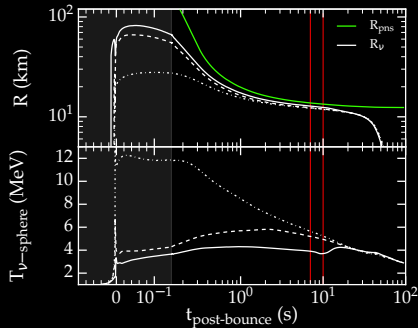
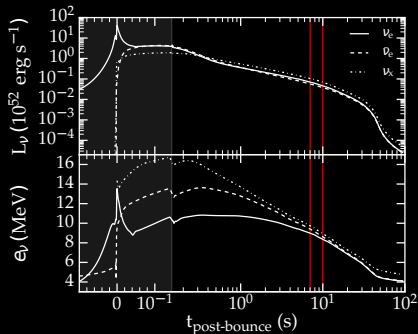
Standard and $f(\mathcal{R})$ gravity: Ricci scalar $\mathcal{R} \rightarrow f(\mathcal{R}) = \mathcal{R} + \alpha_G \mathcal{R}^2$



— SENSITIVE TO EoS AND POTENTIALLY TO EXTENDED GR —

Reference model for neutrino signal

— QUASI-STATIC COOLING (6 ÷ 10 s window) —



Almost constant behavior

L.F. Roberts and S. Reddy (2017) arXiv:1612.03860.

Proto-neutron star radius reconstruction

FERMI-DIRAC BLACK BODY

Pinching parameter $\eta(\alpha)$

$$L = -\frac{24\pi^2 c}{(hc)^3} \text{Li}_4(-e^\eta) R^2 \left[\frac{\langle E \rangle F_2(\eta)}{F_3(\eta)} \right]^4$$

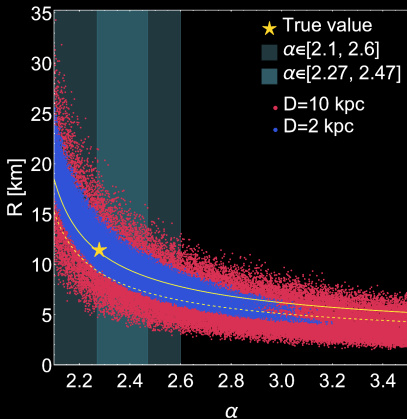
RADIUS RECONSTRUCTION

- Measuring $(L, \langle E \rangle, \eta)$ to get R
- Hyper-Kamiokande
- $6 \div 10$ s window \Rightarrow **theoretically clean but smaller dataset**
 - 10 kpc \Rightarrow # events as Super-Kamiokande in previous project
 - 2 kpc \Rightarrow # events as Hyper-Kamiokande in previous project

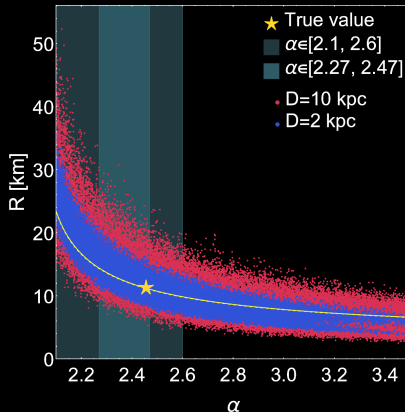
Proto-neutron star radius reconstruction

— CORRELATION BETWEEN R AND α —

$\bar{\nu}_e$ species



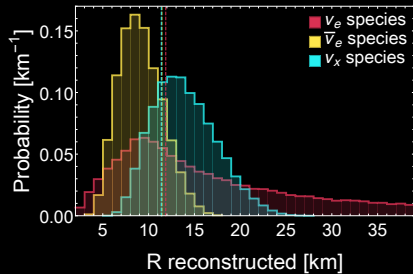
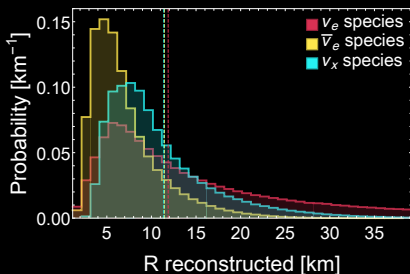
ν_x species



$$L \propto \text{Li}_4 \left(-e^{\eta(\alpha)} \right) \frac{F_2[\eta(\alpha)]}{F_3[\eta(\alpha)]}$$

Proto-neutron star radius reconstruction

— RADII RECONSTRUCTED @ 10 kpc —



R	R^* [km]	Rec. [km]	%
ν_e	11.9	19 ± 19	100
$\bar{\nu}_e$	11.5	7 ± 4	56
ν_x	11.4	11 ± 6	55

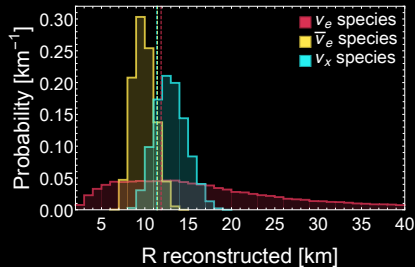
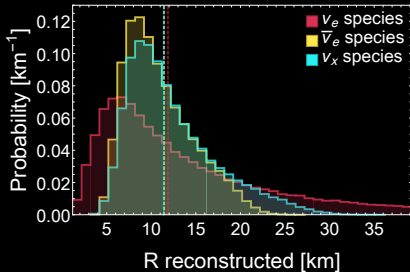
R	R^* [km]	Rec. [km]	%
ν_e	11.9	24 ± 21	86
$\bar{\nu}_e$	11.5	9 ± 2	26
ν_x	11.4	14 ± 3	25

Default $\alpha \in [2.1, 3.5]$

Tighter $\alpha \in [2.27, 2.47]$

Proto-neutron star radius reconstruction

— RADII RECONSTRUCTED @ 2 kpc —



R	R^* [km]	Rec. [km]	%
ν_e	11.9	17 ± 16	97
$\bar{\nu}_e$	11.5	11 ± 4	34
ν_x	11.4	12 ± 5	40

Default $\alpha \in [2.1, 3.5]$

R	R^* [km]	Rec. [km]	%
ν_e	11.9	22 ± 18	81
$\bar{\nu}_e$	11.5	10 ± 1	12
ν_x	11.4	13 ± 2	14

Tighter $\alpha \in [2.27, 2.47]$

Inverting the perspective

USING R TO CONSTRAIN α

- Realistic values
 - $R \in [8, 16]$ km from the EoS
 - $\alpha(\bar{\nu}_e)$ from $\sim 14\%$ to $\sim 5-7\%$ @ 2–10 kpc
- 10% accuracy
 - $R \in [10.2, 13.1]$ km
 - $\alpha(\bar{\nu}_e)$ from $\sim 14\%$ to $\sim 1-2\%$ @ 2–10 kpc

Conclusions and perspectives

1. NEUTRINO FLUX RECONSTRUCTION

- Large datasets necessary but not sufficient
- Elastic scattering is crucial to break the degeneracy
- Hyper-Kamiokande
 - \mathcal{E}_B with few %
 - $\bar{\nu}_e$ well determined ($\langle E \rangle$, $\alpha \sim \%$)
 - ν_e undetermined: prior constraint
- NS mass at $\sim 30\%$ for R known at $\sim 10\%$

2. LATE-TIME ANALYSIS

- R sensitive to EoS and gravity
- Radius determination from black body emission
- R - α strong correlation
 - Poor accuracy if α is not strongly constrained
- Pinching α constrained from physical values of R

DEVELOPMENTS AND IMPROVEMENTS

- Flavor conversion phenomena:
 - Neutrino self-interaction
- Experimental apparatus:
 - Improving detector response
- Likelihood analysis:
 - More detectors/channels
 - Determination of ν_e species